

Nominate/Revoke a Financial Adviser (Adviser Authority)

To nominate a new Financial Adviser, please complete this form.

Please use black ink, BLOCK letters and mark [x] in the appropriate boxes.

Facility Name

Facility Number

Financial Adviser Details

Title

Adviser's First Name

Adviser's Surname

Company Name
(if applicable)

AFSL Number

Dealer Group/
Firm Name

Adviser Work Phone

()

Customer reference used by adviser to identify your account

Adviser's existing Facility Access Code (if applicable)

Adviser Type (Please mark [x] in one of the following options):

Nominated Financial Adviser – This is the person who provides primary advice to you regarding your Margin Loan Facility.

Secondary Adviser – This is a person that you would like to be able to obtain information regarding your Margin Loan Facility.

Authority

Unless you elect otherwise, the Lender:

- **WILL** share personal information with the Financial Adviser and the AFSL holder (including their employees and representatives) in this section.
- **WILL NOT** accept instructions from the Financial Adviser in regard to your Margin Loan Facility.

Tick this box if you **DO NOT** want the Lender to share personal information about the Margin Loan Facility with the Financial Adviser or the AFSL holder.

If you would like to appoint the Financial Adviser as an **Authorised Person** please ensure all Borrowers and the Financial Adviser **duly complete and sign the Nominate Authorised Person form.**

Revoke a Financial Adviser

Revoke **ALL** existing advisers' access (both information access & transaction access on my facility)

Revoke the existing advisers' access (both information access & transaction access on my facility) as listed below:

Adviser Name	Adviser Firm

Acknowledgements

By completing and signing this "Nominate/Revoke a Financial Adviser (Adviser Authority)" form, I/we agree:

1. The Lender will share information about my/our Margin Loan Facility(s) to the Financial Adviser detailed on this form, and any person that the Lender reasonably believes is acting on behalf of the Financial Adviser or their company;
2. Where a Financial Adviser is indicated to be a Nominated Financial Adviser, commissions (if any) will be paid in accordance with the relevant intermediary agreement executed between the Lender and your Nominated Financial Adviser's AFSL licensee;
3. When revoking a Financial Adviser who has been previously nominated as an Authorised Person, they will no longer remain as an Authorised Person on my/our Margin Loan Facility;
4. If I/we have an existing Nominated Financial Adviser on my/our Margin Loan Facility, the Nominated Financial Adviser detailed on this form above will replace that person;
5. If the Financial Adviser leaves the company above, the Lender may continue to provide information to the company and that Financial Adviser until advised otherwise.

Borrower(s)

Borrower 1/Director 1/Sole Director

Print full name

Signature

Date / /

Borrower 2/Director 2/Secretary

Print full name

Signature

Date / /

Please complete and return to:

Ord Minnett Margin Lending
GPO Box 5388, SYDNEY NSW 2001